

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

ATTY. DOCKET NO.

RAL920010115US1/1971P

SERIAL NO.

1036 U.S. PTO  
09/840400  
04/23/01

APPLICANT:

Bertram et al.

(Use several sheets if necessary)

FILING DATE:

Herewith

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
					YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>mc</i>		U.S. Patent Application filed 3/13/2001, Bertram et al., "Method and System for Providing Performance Analysis for Clusters", docket No. RAL920000116US1. 19/805,413 <i>mc</i>
<i>mc</i>		U.S. Patent Application filed 2/23/1999, Bertram et al., serial no. 09/256,452, "System and Method for Monitoring and Analyzing Computer System Performance and Making Recommendations for Improving It"

EXAMINER

DATE CONSIDERED

12/20/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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					YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

mk	U.S. Patent Application Serial No. 09/255,955, filed 2/23/1999, "System and Method for Identifying Latent Computer System Bottlenecks and for Making Recommendations for Improving Computer System Performance", Bertram et al.

EXAMINER

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*[Signature]*

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LIST OF PATENTS AND PUBLICATIONS FOR  
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DISCLOSURE STATEMENT

ATTY. DOCKET NO.

RAL920010115US1/1971P

SERIAL NO.

31026 U.S. PTO  
09/840400



APPLICANT:

Bertram et al.

(Use several sheets if necessary)

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Herewith

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<p><i>pk</i></p>	U.S. Patent Application serial no. 09/255,680, "System and Method for Predicting Computer System Performance and for Making Recommendations for Improving its Performance", filed 2/23/1999

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